

# weite Rossby nach Pedlosky

16.3.22

S.105,  $\beta$ -Plane. Grandiose Idee Rossbys, (1939)

lokale Tangent. ebenen am Code einzuführen

noch mit unterschiedlichem Coriolisparametru  $f$

$$\Pi = \frac{f + f}{H} \quad \text{pot. vort.}$$

$$df = \frac{1}{R} \frac{df}{d\theta} R d\theta = \beta y, \quad \beta = \frac{2\Omega}{R} \cos \theta \\ = dy \text{ oder } y$$

$$f(y) = f_0 + \beta y, \quad f_0 = 2\Omega \sin \theta$$

$$H = D + y - h_B$$

$$\Pi = \frac{f_0 + \beta y + f_0 \frac{h_B}{D} + f - f_0 \frac{y}{D}}{D}$$

nach Linearisieren

Die Terme/Beiträge  $\beta y$  und  $f_0 h_B / D$  sind  
in  $\Pi$  nicht zu unterscheiden.

daher identische Effekte = Rossbywellen

- a) durch Bodenprofil  $h_B \cdot f_0 / D$
- ↓ b) durch Variation des Coriolisparam.  $\beta y$
- variation of topography ↓  $\beta$ -effekt

# Quasigeostrophic Potential Vorticity Equation

16.3.22

Vorbemerkung: Dies etwas komplex in

Pedlosky, über viele Seiten verteilt.

z.B. S. 86-92 (undurchdringliche Skalenanalyse)

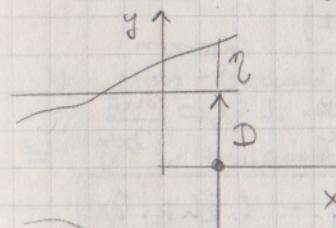
Annahmen: - shallow water

die Rossbywelle }  $\rightarrow$  (quasi-) geostrophic

IST in geostrophic Balance!

- potential vorticity conservation

- Linearization / Shalierung



$$\Pi = \frac{f + J}{h} = \frac{f + J}{D + y - h_B}$$

$$= \frac{f + J}{D \left( 1 + \frac{y}{D} - \frac{h_B}{D} \right)}$$

$$\Pi \approx \frac{f}{D} + \frac{J}{D} - \frac{fy}{D^2} + \frac{fh_B}{D^2} \quad \text{für } J \ll f$$

geostrophic Näherung:

$$u = -\frac{\partial y}{\partial y}, \quad v = \frac{\partial y}{\partial x}, \quad \text{also } y \equiv \psi \quad \checkmark$$

$$\text{also } J = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} = \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} = \Delta \psi \text{ wie zuvor}$$

$$\text{shallow water: } \frac{d}{dt} = \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + v \frac{\partial}{\partial y}$$

$$\text{Also } d\Pi/dt = 0: \quad \overset{1}{\underset{1}{\Delta}}, \quad \overset{2}{\underset{2}{\Delta}}, \quad \overset{3}{\underset{3}{\Delta}}, \quad \overset{4}{\underset{4}{\Delta}}$$

$$\left( \frac{\partial}{\partial t} - \frac{\partial \psi}{\partial y} \frac{\partial}{\partial x} + \frac{\partial \psi}{\partial x} \frac{\partial}{\partial y} \right) \left( \Delta \psi - \frac{fy}{D} + f + \frac{fh_B}{D} \right) = 0$$

Linearisieren = neglazieren aller  $\eta^2$ :

$$\Delta \dot{\eta} - \frac{f}{D} \dot{\eta} + J(\eta, f + fh_B/D) = 0$$

mit Jacobideterminante  $J = \frac{\partial \eta}{\partial x} \frac{\partial}{\partial y} (f + fh_B/D) - \frac{\partial \eta}{\partial y} \frac{\partial}{\partial x} (f + fh_B/D)$

dann mit 2-D Rossbywellen: sei  $f = \text{const.}$ ,

$$\Delta \dot{\eta} - F \dot{\eta} + \frac{\partial \eta}{\partial x} F \frac{\partial h_B}{\partial y} - \frac{\partial \eta}{\partial y} F \frac{\partial h_B}{\partial x} = 0$$

sei  $\eta = e^{i(kx + ly - \sigma t)}$ . dann

$$-(k^2 + l^2 + F)(-i\sigma) = -ikF \frac{\partial h_B}{\partial y} + ilF \frac{\partial h_B}{\partial x}$$

$$\sigma = -F \frac{k \frac{\partial h_B}{\partial y} - l \frac{\partial h_B}{\partial x}}{k^2 + l^2 + F}$$

Dispersionsrelation (3.15.4) auf S. 93 in Pedlosky

Schließlich der eigentliche Rossbyfall:  $\beta$ -effect:

$$f = f_0 + \beta y, \quad h_B = 0$$

$$\Delta \dot{\eta} - F \dot{\eta} + \beta \frac{\partial \eta}{\partial x} = 0$$

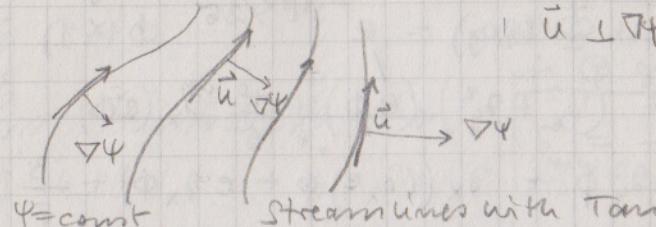
$\eta \eta_B$  (3.25.1) auf  
S. 144 in Pedlosky

( $F = f/D = \text{Coriolis freq. / Wassertiefe}$ )

Neue Physik: Rossbywellen im Basin

Vorbetrachtung:  $u = -\frac{\partial \psi}{\partial y}, \quad v = \frac{\partial \psi}{\partial x}$  Pedlosky S. 144

d.h.  $\vec{u} \cdot \nabla \psi = (-\psi_y, \psi_x) (\psi_x, \psi_y) = 0$



Streamline = curve with const.  $\psi$

No cross-boundary velocity component

$\Rightarrow \vec{u}$  is tangent to boundary

But  $\vec{u}$  is tangent to  $\psi = \text{const}$

$\Rightarrow \psi = \text{const along boundary}$

but may be function of time!

Here  $\eta = \text{const along boundary!}$  (strange; geostrophic)

Assume  $F = I/D \equiv 0$ : small basin

$F \neq 0$  is very complicated: Flierl 1977

$$\Delta \dot{\eta} + \beta \frac{\partial \eta}{\partial x} = 0$$

$\eta = 0$  on boundary

Ansatz:  $\psi(x, y, t) = \Phi(x, y) e^{-i\omega t}$  gibt

$$\Delta \Phi + \frac{i\beta}{6} \Phi_x = 0$$

is linear in  $\Phi$ , too, thus try still there!

TRICK  $\Phi(x, y) = e^{-i\beta x/26} \downarrow \Phi(x, y)$

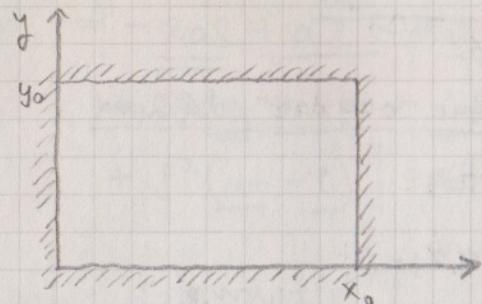
gives  $0 = (\partial_x^2 + \partial_y^2)(e\Phi) + \frac{i\beta}{6} \partial_x(e\Phi)$   
 $= e\partial_y^2\phi + \partial_x((\partial_x e)\phi + e\partial_x\phi) + \frac{i\beta}{6}(\partial_x e)\phi$   
 $+ \frac{i\beta}{6}e\partial_x\phi$   
 $= e\partial_y^2\phi + (\partial_x^2 e)\phi + 2(\partial_x e)\partial_x\phi + e\partial_x^2\phi$   
 $+ \frac{i\beta}{6}e\partial_x\phi + \frac{i\beta}{6}(\partial_x e)\phi$   
 $= e\partial_y^2\phi - \frac{\beta^2}{46^2}e\phi - 2\frac{i\beta}{26}e\partial_x\phi + e\partial_x^2\phi$   
 $+ \cancel{\frac{i\beta}{6}e\partial_x\phi} - \cancel{\frac{i\beta}{6}\frac{i\beta}{26}e\phi}$   
 $= e\partial_y^2\phi + e\partial_x^2\phi + \underbrace{\frac{\beta^2}{46^2}e\phi}_{-\frac{1}{4} + \frac{1}{2} = \frac{1}{4}}$

d.h.  $\boxed{\Delta\phi + \lambda^2\phi = 0, \quad \lambda = \frac{\beta}{26}} \quad \circledast$

"Membrangeleichung"

+ R.B.  $\psi = \eta = \Phi = \boxed{\phi = 0 \text{ on boundary}}$

Rectangular basin:



$$\Phi(x, y) = \sin \frac{m\pi x}{x_0} \sin \frac{n\pi y}{y_0}$$

mm  
 $m = 1, 2, 3, \dots, n = 1, 2, 3, \dots$

erfüllt die R.B., einsetzen in  $\circledast$  geht

$$\tilde{\omega}_{mn} = \frac{(-)\beta}{2\pi \sqrt{\frac{m^2}{x_0^2} + \frac{n^2}{y_0^2}}}$$

d.h. je führt die Schwingung

(d.h. je größere  $m, n$ )

desto niedrigere Frequenz! counter-intuitive

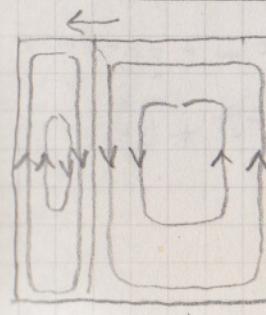
$$\eta(x, y, t) = \cos\left(\frac{\beta x}{26\tilde{\omega}_{mn}} + \tilde{\omega}_{mn}t\right) \sin \frac{m\pi x}{x_0} \sin \frac{n\pi y}{y_0}$$

carrier wave  $\swarrow$  mit Glg. (3.25, 16) S. 147 Pedlosky  
 waves from  
 right to left = westward

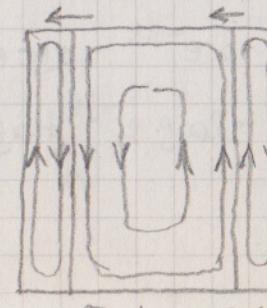
mit Wellen(pharm) gechromatographiert ( $\partial_x + \tilde{\omega}t = 0$   
 $c = -\tilde{\omega}/\tilde{\beta}$ )

$$c = -\frac{2\tilde{\omega}_{mn}^2}{\beta} = -\frac{\beta}{2\pi^2 \left( \frac{m^2}{x_0^2} + \frac{n^2}{y_0^2} \right)} \quad (3.25, 17)$$

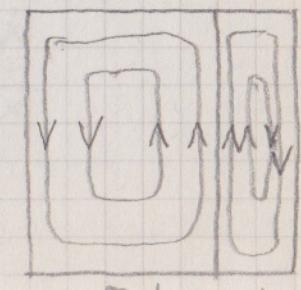
Pedlosky



$\tilde{\omega}_{11}t = 0$



$\tilde{\omega}_{11}t = \pi/4$



$\tilde{\omega}_{11}t = \pi/2$

# RESONANT TRIADS FOR RASSBY WAVES

from Pedlosky  
p. 153 - 164

1) Preliminaries: harmonic oscillator, damped

$$F_{\text{Hooke}} = -bx$$

$$F_{\text{Stokes}} = -\gamma \dot{x}$$

$$m \ddot{x} + \eta \dot{x} + kx = 0$$

$$\ddot{x} + \frac{\eta}{m} \dot{x} + \frac{k}{m} x = 0$$

$$\ddot{x} + 2\beta \dot{x} + \omega_0^2 x = 0$$

$$\rightarrow \lambda^2 + 2\beta\lambda + \omega_0^2 = 0$$

$$\lambda_{\pm} = -\beta \pm \sqrt{\beta^2 - \omega_0^2}$$

$$= -\beta \pm i\sqrt{\omega_0^2 - \beta^2}$$

$$\beta \text{ small: } x_{\pm} = e^{\mp i\sqrt{\omega_0^2 - \beta^2}t} e^{-\beta t}$$

$$\beta \text{ large: } x_{\pm} = e^{-(\beta \pm i\sqrt{\beta^2 - \omega_0^2})t}$$

Ansatz:

$$x = e^{\lambda t}$$

$$\dot{x} = \lambda e^{\lambda t}$$

$$\ddot{x} = \lambda^2 e^{\lambda t} \text{ ohne } i$$

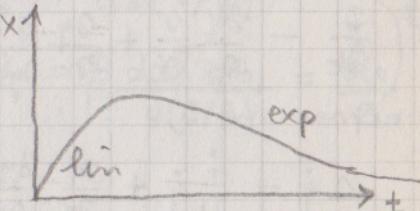
$$\ddot{x} + 2\beta \dot{x} + \beta^2 x = \left( \frac{d}{dt} + \beta \right) \left( \frac{d}{dt} + \beta \right) x$$

$$= \left[ -\frac{2\alpha\beta}{\underline{m}} + \frac{\alpha\beta^2}{\underline{m}} t + \frac{\beta^2}{\underline{m}} \right]$$

$$+ 2\beta \left[ \frac{\alpha - \alpha\beta t}{\underline{m}} - \frac{6\beta}{\underline{m}} \right]$$

$$+ \beta^2 \left( \frac{\alpha t + b}{\underline{m}} \right) e^{-\beta t} = 0 \quad \text{indeed}$$

neu:  $x = t e^{-\beta t}$



Similarly for resonance amplitude in driven oscillator: amplitude  $\sim t$  initially

Aperiodisches Grenzfall:  $\beta = \omega_0$ , Doppellösung

$$\text{Mathematisch: } x = at e^{-\beta t} + b e^{-\beta t}$$

$$\dot{x} = ae^{-\beta t} - \alpha te^{-\beta t} - \beta a e^{-\beta t}$$

$$\ddot{x} = -2\alpha t e^{-\beta t} + \alpha^2 t^2 e^{-\beta t} + \beta^2 a e^{-\beta t}$$

# Equation of motion for resonant triad

19.3.22

Pedlosky

Oberflächenwellen: 4 - Resonanz

Rosbywellen: 3 - Resonanz:  $\vec{u}$  von Welle A advektiert  
 $\nabla \times \vec{u}$  von Welle B

von vorne pot. vort. cons:

$$\left( \frac{\partial}{\partial t} - \frac{\partial \gamma}{\partial y} \frac{\partial}{\partial x} + \frac{\partial \gamma}{\partial x} \frac{\partial}{\partial y} \right) \left( \Delta \gamma - \frac{f}{D} \gamma + f + f \frac{h_B}{D} \right) = 0$$

nimm mit

$$\Delta \dot{\gamma} - \underbrace{\frac{f}{D} \dot{\gamma}}_F + \underbrace{\frac{2f}{\beta} \frac{\partial \gamma}{\partial x}}_{\beta} + \underbrace{\frac{\partial \gamma}{\partial x} \frac{\partial \Delta \gamma}{\partial y} - \frac{\partial \gamma}{\partial y} \frac{\partial \Delta \gamma}{\partial x}}_{\text{Jacobideterminante}} = 0$$

$$\Delta \dot{\gamma} - F \dot{\gamma} + \beta \gamma_x + J(\gamma, \Delta \gamma) = 0 \quad (3.26.1)$$

Pedlosky

$$\frac{\partial}{\partial t} (\Delta \gamma - F \gamma) + \frac{\partial \gamma}{\partial x} + \frac{1}{\beta} J(\gamma, \Delta \gamma) = 0$$

sei  $\beta \gg 1$ : Wellen auf Sub-Coriolis-Skala

schmale Zeit  $\boxed{\tilde{\tau} = \beta t} \gg t$

$$\frac{\partial}{\partial \tilde{\tau}} (\Delta \gamma - F \gamma) + \frac{\partial \gamma}{\partial x} + \frac{1}{\beta} J(\gamma, \Delta \gamma) = 0 \quad (*)$$

Gesucht ist Welle  $a e^{i(kx + ly - \delta \tilde{\tau})} = \overset{\text{phase}}{a_p(t)}$

Ansatz 1 durch Wechselwirkung ändert sich  
 Wellenamplitude, aber langsam!

Skalen-  
 "theorie"

$$\gamma = \alpha \left( \frac{\tilde{\tau}}{\beta} \right) p(\tilde{\tau})$$

die DGL "kündigt" sich bevorzugt um  $\frac{\partial}{\partial \tilde{\tau}} p(\tilde{\tau})$ ,  
 Korrekturglieder  $d(a)/dt$  ( $t = \tilde{\tau}/\beta$ ) verschwinden.  
 langsame Entwicklung

cfr

Ansatz 2, Störungstheoretisch, „Ordnungen“ in  $\beta$

$$\gamma = \alpha_0 \left( \frac{\tilde{\tau}}{\beta} \right) p_0(\tilde{\tau}) + \frac{1}{\beta} \gamma_1(\tilde{\tau})$$

Bem: Pedlosky fängt mit  $\gamma_0(\tilde{\tau}) + \frac{1}{\beta} \gamma_1(\tilde{\tau})$  an

und korrigiert später  $\alpha_0 \rightarrow \alpha_0(\tilde{\tau}/\beta)$  langsame  
Zeit

$$\text{einsetzen in } (*) \text{ mit } \frac{d \alpha_0(\tilde{\tau}/\beta)}{d \tilde{\tau}} = \frac{1}{\beta} \frac{d \alpha_0(\tilde{\tau}/\beta)}{d (\tilde{\tau}/\beta)} = \overset{\circ}{\alpha}_0 = \frac{\alpha_0}{\beta}$$

$$\begin{aligned} & \alpha_0 \Delta \dot{p}_0 - F \alpha_0 \dot{p}_0 + \alpha_0 p_{0x} \\ & + \frac{1}{\beta} (\Delta \dot{\gamma}_1 - F \dot{\gamma}_1 + \gamma_{1x}) \\ & + \frac{1}{\beta} \overset{\circ}{\alpha}_0 (\Delta p_0 - F p_0) + \frac{1}{\beta} J(\gamma_0, \Delta \gamma_0) = 0 \end{aligned}$$

d.h. nach Trennung von  $\beta^0$  und  $\beta^{-1}$ :

$$\alpha_0 \Delta \dot{p}_0 - F \alpha_0 \dot{p}_0 + \alpha_0 p_{0x} = 0$$

$$\Delta \dot{\gamma}_1 - F \dot{\gamma}_1 + \gamma_{1x} =$$

$$- \overset{\circ}{\alpha}_0 (\Delta p_0 - F p_0) - J(\gamma_0, \Delta \gamma_0)$$

Jetzt Entwicklung von  $J$ ! sei  $\gamma_0 = \sum_{j=1}^a \alpha_j \cos \theta_j$

$$J(\gamma_0, \Delta \gamma_0) = \gamma_x \Delta \gamma_y - \gamma_y \Delta \gamma_x \quad (\text{mit } \theta_j = k_j x + l_j y - \delta_j t)$$

$$= \sum_m \sum_n \alpha_m \alpha_n (k_m^2 + l_m^2) (k_m l_m - k_n l_n) \sin \theta_m \sin \theta_n$$

$$= - \sum_m \sum_n \alpha_m \alpha_n (k_m^2 + l_m^2) (k_m l_m - k_n l_n) \sin \theta_m \sin \theta_n$$

$$= \frac{1}{2} \sum_m \sum_n (k_m^2 - k_n^2) (l_m l_n - k_m k_n) \sin \theta_m \sin \theta_n$$

$$- (K_m^2 = k_m^2 + l_m^2 \text{ usw.})$$

$$= - \sum_m \sum_n a_m a_n B_{mn} \cdot (\cos(\theta_m + \theta_n) - \cos(\theta_m - \theta_n))$$

mit  $B_{mn} = \frac{1}{4} (k_m^2 - k_n^2) (\vec{k}_m \times \vec{k}_n) \cdot \hat{z}$

da  $\vec{k}_m \times \vec{k}_n = (k_m \hat{x} + l_m \hat{y}) \times (k_n \hat{x} + l_n \hat{y})$   
 $= (k_m l_n - k_n l_m) \hat{x} \times \hat{y}$   
 $= (k_m l_n - k_n l_m) \hat{z} \begin{pmatrix} -1 & \text{vom } \cos(\theta_m + \theta_n) \\ \text{wird hier kein genommen} \end{pmatrix}$

d.h.

$$\begin{aligned} \Delta \ddot{\gamma}_1 - F \dot{\gamma}_1 + \gamma_1 x &= \\ \textcircled{*} \quad &= -\ddot{a}_o (\Delta p_o - F p_o) \\ &+ \sum_m \sum_n a_m a_n B_{mn} \cos(\theta_m + \theta_n) \end{aligned}$$

mit  $B_{mn}$  von oben, wobei man feststellt  $B_{mn} = B_{nm}$   
 $\cos(\theta_m - \theta_n)$  gibt keine neue Info:

Zum folgenden freie Wahl des gewünschten Vorzeichens,

So 20.3.22

Die rechte Seite in  $\textcircled{*}$  stellt "forcing" term, für  
eine Schwingung auf der linken Seite mit

$$\begin{aligned} k_r &= k_m \pm k_n \\ l_r &= l_m \pm l_n \\ \delta_r &= \delta_m \pm \delta_n \end{aligned}$$

Bedachte  $B_{mn} = 0$  für  
 $k_m^2 = k_n^2$ : gleiche Wellen-  
länge  
&  $k_m = \alpha k_n$ : parallele  
Wellenwellenform

Dies wird umgeschrieben zu

$$k_m + k_n + k_r = 0$$

$$l_m + l_n + l_r = 0$$

$$\delta(k_m, k_n) + \delta(k_n, l_n) + \delta(k_r, l_r) = 0$$

wobei letzte Zeile

$$\frac{k_m}{k_m^2 + l_m^2 + F} + \frac{k_n}{k_m^2 + l_n^2 + F} + \frac{k_r}{k_r^2 + l_r^2 + F} = 0$$

Heavy algebra: Longuet-Higgins & Gill (1964)

Oszillatortreibung mit  $\theta_m + \theta_n$ , also Ansatz

$$\gamma_1 = \sum_{m,n} a_{1,mn} \sin(\theta_m + \theta_n)$$

Setze vorläufig  $\ddot{a}_o = 0$ , d.h.  $\textcircled{*}$  gibt

$$\left\{ -(\delta_m + \delta_n) \left[ -(k_m^2 + k_n^2) + (l_m^2 + l_n^2 + F) \right] + (k_m + k_n) \right\} a_1 = 0 \quad (= \delta_m \delta_n B_{mn})$$

$$a_{1mn} = \frac{\delta_m \delta_n B_{mn}}{[(k_m + k_n)^2 + (l_m + l_n)^2 + F] \left[ (\delta_m + \delta_n) - \frac{-(k_m + k_n)}{(k_m + k_n)^2 + (l_m + l_n)^2 + F} \right]}$$

Resonanz wenn wie oben

$$\begin{aligned} \delta(k_m, l_m) + \delta(k_n, l_n) &= \delta(k_m + k_n, l_m + l_n) \\ -\frac{\delta_m}{\delta_m^2 + l_m^2 + F} \end{aligned}$$

(Vorzeichenfreiheit)

Passende  
Vorzeichen  
wählt ist  
erlaubt

## Ressonanztripel

ersetze  $m, n, r$  durch  $1, 2, 3$  als eine resonant triad  
Bisherige Ansätze:

$$\eta_0 = \sum_{j=1}^{\infty} a_{0j} \cos \theta_j = \sum a_{0j} p_{0j}$$

$$\eta_1 = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} a_{1mn} \sin(\theta_m + \theta_n)$$

Die Bewegungsgleichungen sind

$$(0) \quad a_{0j} \Delta \dot{p}_{0j} - F a_{0j} \dot{p}_{0j} + a_{0j} \frac{\partial p_{0j}}{\partial x} = 0 \quad \text{ist erfüllt}$$

$$(1) \quad \Delta \dot{q}_1 - F \dot{q}_1 + \eta_{1x} = \sum_{j=1}^{\infty} a_{0j} (\Delta p_{0j} - F p_{0j}) \\ + \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} a_{0m} a_{0n} B_{mn} \cos(\theta_m + \theta_n)$$

Gleichung (1) OHNE den Term  $\eta_0$  ist erfüllt mit

$a_{1mn}$  von der linken Seite (Resonanzbruch)

Jetzt neu: Betrachte in (1) 3 Gleichungen für  
1 Tripel  $m, n, r$

$$\boxed{\eta_0 = a_{0m} \cos \theta_m + a_{0n} \cos \theta_n + a_{0r} \cos \theta_r}$$

in (1):

$$\Delta \dot{q}_1 - F \dot{q}_1 + \eta_{1x} =$$

$$= \ddot{a}_{0r} (k_r^2 + l_r^2 + F) \cos \theta_r + a_{0m} a_{0n} B_{mn} \cos(\theta_m + \theta_n)$$

$$+ \ddot{a}_{0m} (k_m^2 + l_m^2 + F) \cos \theta_m + a_{0n} a_{0r} B_{nr} \cos(\theta_n + \theta_r)$$

$$+ \ddot{a}_{0n} (k_n^2 + l_n^2 + F) \cos \theta_n + a_{0r} a_{0m} B_{rm} \cos(\theta_r + \theta_m)$$

(hebenstehende Seite)

Ist nur check, dass Skalenansatz okay, kein „remarkable result“ nur bei Pedloosky

Jetzt: die rechte Seite ist die Treibung, verursacht Resonanz und Amplitudenwachstum. Forderung:  
soll verschwinden, damit bisheriger Störungsansatz korrekt, also: rechte Seite = 0 ! (willkür)

Lasse „0“ weg und ersetze  $m, n, r \rightarrow 1, 2, 3$

$\ddot{a}_1 + \frac{B_{23}}{K_1^2 + F} a_2 a_3 = 0$ <span style="float: right;">glg (3-26.33)</span>
$\ddot{a}_2 + \frac{B_{31}}{K_2^2 + F} a_3 a_1 = 0$ <span style="float: right;">S. 160 in Pedloosky</span>
$\ddot{a}_3 + \frac{B_{12}}{K_3^2 + F} a_1 a_2 = 0$

Übung: Energiedichte Rossbywelle ist  $\frac{a^2}{4} (K^2 + F)$

$$\text{also } \frac{d}{dt} (E_1 + E_2 + E_3) = \\ = \frac{1}{2} a_1 \ddot{a}_1 (K_1^2 + F) + \frac{1}{2} a_2 \ddot{a}_2 (K_2^2 + F) + \frac{1}{2} a_3 \ddot{a}_3 (K_3^2 + F) \\ = -\frac{1}{2} a_1 a_2 a_3 (B_{12} + B_{23} + B_{31}) = 0$$

$$\text{Denn } 4(B_{12} + B_{23} + B_{31}) =$$

$$(K_1^2 - K_2^2)(\vec{k}_1 \times \vec{k}_2) + (K_2^2 - K_3^2)(\vec{k}_2 \times \vec{k}_3) + (K_3^2 - K_1^2)(\vec{k}_3 \times \vec{k}_1) \\ = (K_1^2 - K_2^2)(\vec{k}_1 \times \vec{k}_2) + (K_2^2 - K_3^2)(\vec{k}_2 \times \vec{k}_3) + (K_3^2 - K_1^2)(\vec{k}_3 \times \vec{k}_1) \\ \stackrel{K_1 + K_2 + K_3 = 0}{=} 0$$

also tauscht die Triade unter sich Energie aus.

Weiterer Erhaltungssatz (durch Rotation). J.W. (nur)

$$\begin{aligned} & \frac{d}{dt} (K_1^2 E_1 + K_2^2 E_2 + K_3^2 E_3) \\ &= \frac{1}{2} K_1^2 \alpha_1 \dot{\alpha}_1 (K_1^2 + F) + \frac{1}{2} K_2^2 \alpha_2 \dot{\alpha}_2 (K_2^2 + F) + \frac{1}{2} K_3^2 \alpha_3 \dot{\alpha}_3 (K_3^2 + F) \\ &= -\frac{1}{2} K_1^2 \alpha_1 B_{23} \alpha_2 \alpha_3 - \frac{1}{2} K_2^2 \alpha_2 B_{31} \alpha_3 \alpha_1 - \frac{1}{2} K_3^2 \alpha_3 B_{12} \alpha_1 \alpha_2 \\ &= -\frac{1}{8} \alpha_1 \alpha_2 \alpha_3 (K_1^2 (K_2^2 - K_3^2) (\vec{k}_2 \times \vec{k}_3) \cdot \hat{z}) \\ &\quad + K_2^2 (K_3^2 - K_1^2) (\vec{k}_3 \times \vec{k}_1) \cdot \hat{z} \\ &\quad + K_3^2 (K_1^2 - K_2^2) (\vec{k}_1 \times \vec{k}_2) \cdot \hat{z}) \\ &= -\frac{1}{8} \alpha_1 \alpha_2 \alpha_3 (K_1^2 (K_2^2 - K_3^2) + K_2^2 (K_3^2 - K_1^2) + K_3^2 (K_1^2 - K_2^2)) \\ &\stackrel{(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) = 0}{=} 0. \end{aligned}$$

$$\begin{aligned} \text{Also } 0 &= K_1^2 \dot{E}_1 + K_2^2 \dot{E}_2 + K_3^2 \dot{E}_3 \\ &= K_1^2 \dot{E}_1 + K_2^2 \dot{E}_2 - K_3^2 (\dot{E}_1 + \dot{E}_2) \\ &= (K_1^2 - K_3^2) \dot{E}_1 + (K_2^2 - K_3^2) \dot{E}_2 \end{aligned}$$

Annahme (OE dA): Energie von 1 und 2 nach 3

$$\text{also } \operatorname{sgn} \dot{E}_1 = \operatorname{sgn} \dot{E}_2 \quad \text{W.N. Richtung}$$

$$\text{also } \operatorname{sgn} (K_1^2 - K_3^2) = -\operatorname{sgn} (K_2^2 - K_3^2)$$

$$\operatorname{sgn} (K_1 - K_3) = -\operatorname{sgn} (K_2 - K_3)$$

$$\begin{aligned} \text{also entweder } & K_1 > K_3 & K_2 < K_3 \quad \text{oder} \quad K_1 < K_3 & K_2 > K_3 \\ & \boxed{K_1 > K_3 > K_2} \vee \boxed{K_1 < K_3 < K_2} \end{aligned}$$

Die Welle, in die Energie transferiert wird, muss eine kurzwelligere und eine langwelligere Ursprungswelle haben

Entsprechend von 1 nach 2 und 3, z.T. Zerfall

$$\text{also } \operatorname{sgn} \dot{E}_2 = \operatorname{sgn} \dot{E}_3$$

$$0 = K_1^2 \dot{E}_1 + K_2^2 \dot{E}_2 + K_3^2 \dot{E}_3$$

$$= (K_2^2 - K_1^2) \dot{E}_2 + (K_3^2 - K_1^2) \dot{E}_3$$

$$\rightarrow \operatorname{sgn} (K_2 - K_1) = -\operatorname{sgn} (K_3 - K_1)$$

$$\text{also } K_2 > K_1, K_3 < K_1 \quad \text{oder} \quad K_2 < K_1, K_3 > K_1$$

$$\boxed{K_3 < K_1 < K_2}$$

$$\boxed{K_3 > K_1 > K_2}$$

Welche kann Energie abgeben nur an 2. andere Wellen, eine kurzwelliger, eine langwelliger

Noch etwas Kinematik des Austauschs:

$$\text{Sei } \alpha_1 \gg \alpha_2 \rightarrow \alpha_3$$

$$\dot{\alpha}_1 + \frac{B_{23}}{K_3^2 + F} \alpha_2 \alpha_3 = 0 \quad \text{mit } K_3 \text{ klein von Ordnung 2, d.h. } \dot{\alpha}_1 \approx 0$$

$$\dot{\alpha}_2 + \frac{B_{31}}{K_1^2 + F} \alpha_1 \alpha_3 = 0 \quad \left. \right\}$$

$$\dot{\alpha}_3 + \frac{B_{12}}{K_2^2 + F} \alpha_1 \alpha_2 = 0 \quad \left. \right\}$$

$$\rightarrow \ddot{\alpha}_2 \approx -\frac{B_{31}}{K_1^2 + F} \alpha_1 \dot{\alpha}_3 = \frac{B_{12} B_{31}}{(K_1^2 + F)(K_2^2 + F)} \alpha_1^2 \alpha_2$$

also exponentielles Wachstum von  $\alpha_2$  und  $\alpha_3$

"The pulsation is perpetual, each member of the triad first receiving and then returning energy to the others." Pedlosky S. 163

Trivial:  $\dot{E}_1 + \dot{E}_2 + \dot{E}_3 = 0$  nur möglich für  
folgende Vorzeichenkombinationen

$\oplus\ominus\ominus$  und  $\oplus\oplus\ominus$  & ihre Permutationen

In beiden Fällen (& ihren Perms.) gibt es immer  
eine Welle die empfängt oder sendet.

Weiter nach Longuet-Higgins & Gill 1967

# WIND-DRIVEN OCEANIC CIRCULATION 21.3.22

= Pedlosky Chap. 5

Starke Meeresströmung von Ost nach West um Äquator, von  $-10^\circ$  bis  $10^\circ$  geograph. Breite

Ursache sind die trade winds!

In mittleren Breiten sind Strömungen diagonal von West nach Ost, aufgrund der westerly winds (d.h. Wind von Westen)

Einfachste Bewegungsgleichung

$$\vec{v} = \nabla \times \vec{\tau}$$

Sverdrups relation

$$m + \vec{\tau} = C \rho_a u_a^2 \hat{u}_a$$

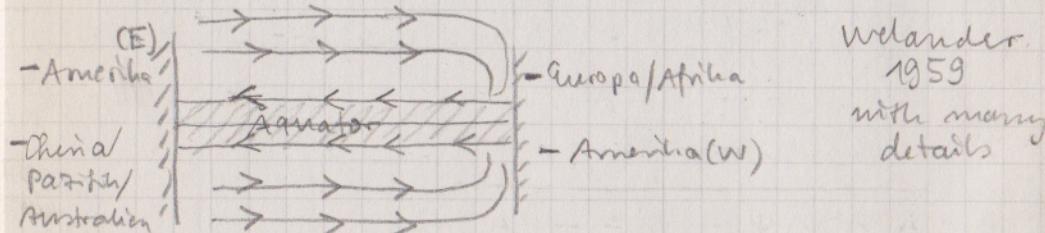
Empirical law for wind stress  $\vec{\tau}$

$\rho_a$  = air density,  $u_a$  = wind speed,  $\hat{u}_a$  = ... direction  
 $C$  = empirical coefficient (not constant!)

Annahmen von der Theorie:

$$\beta \gg 1, \quad Re \cdot \beta \gg 1$$

Mit dieser Gleichung allein (!) erhält man:



Mehr Details: Munk Layer

## Free inertial modes

= Pedlosky Sect. 5.10 p305

Vorticity equation mit everything neglected except variation of Coriolis parameter  $f = f_0 + \beta y$ :

$$\vec{u} \cdot \nabla (c^2 \zeta + y) = 0 \quad \textcircled{D}$$

$\zeta$  = vertical component of  $\vec{w}$ ,  $c$  = constant

Geostrophic flow,  $\zeta = \Delta \Psi$  wegen  $u = -\partial_y \Psi, v = \partial_x \Psi$

Erinnerung:  $\vec{u} \cdot \nabla \Psi = u \partial_x \Psi + v \partial_y \Psi =$

$$= -\partial_y \Psi \partial_x \Psi + \partial_x \Psi \partial_y \Psi = 0$$

d.h., da  $\Psi = \text{const}$  entlang  $\Psi$ -Höhenlinien:

$\vec{u} \cdot \nabla$  ist Ableitung entlang  $\Psi$ -Höhenlinien  
d.h.  $\vec{u}$  ist parallel zu  $\nabla \Psi$

Also kann man  $\textcircled{D}$  schreiben als

$$c^2 \Delta \Psi + y = f(\Psi)$$

da auch die Ableitung von  $f(\Psi)$  entlang  $\Psi$ -Höhenlinien verschwindet,  $f(\Psi) = \text{const}$  für  $\Psi = \text{const}$

WÄHLE  $f(\Psi) = \frac{\Psi}{A^2}$  mit Konstante A

Also Randwertproblem

$$A^2 c^2 \Delta \Psi - \Psi = -y A^2$$

mit  $\Psi = \text{const}$  für  $x = 0, x = l, y = 0, y = 1$ .

Annahme: im Inneren des Basins ist  $\Delta\Phi \approx 0$ ,

$$\text{also } \Psi_I = A^2 y = \text{uniform westward flow.}$$

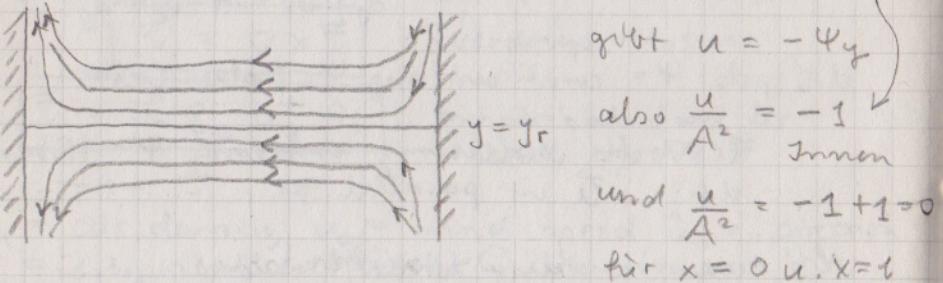
An den Rändern nimmt man  $\Delta\Phi$  mit, um RB zu erfüllen

siehe Idee aus boundary layer theory

Vertikale Ränder  $x=0$  und  $x=l$ :

$$\frac{\Psi}{A^2} = y - (y - y_r) [e^{-x/Ac} + e^{-(l-x)/Ac}]$$

mit freier Konstante  $y_r$  [für  $e^{-x/Ac} \ll 1$ ]



(Radial-)Idee: schließe nun oben durch horizontale

„eine einzige“ Rückstromlinie ab, d.h.  $\Phi = \Psi(y)$ ,

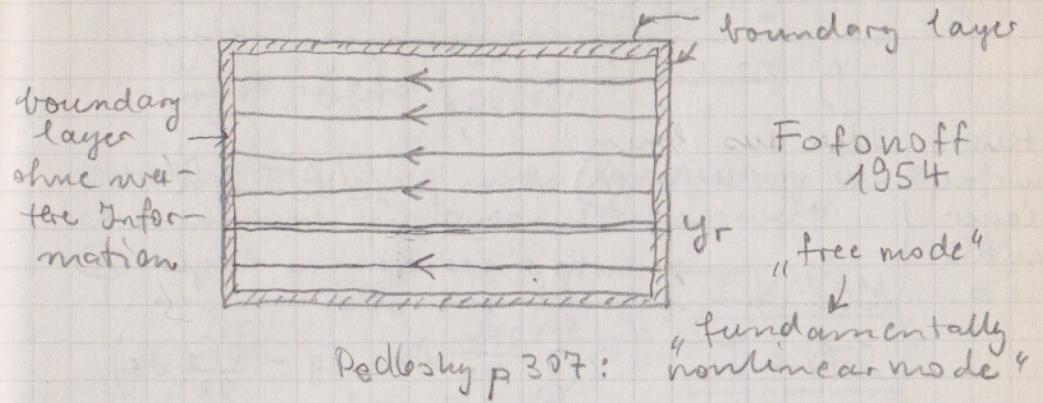
dann mit  $u$ , kein  $v$ . Passende Wahl:

$$\frac{\Psi}{A^2} = y - (y - y_r) [e^{-x/Ac} + e^{-(l-x)/Ac}]$$

$$+ y_r e^{-y/Ac} - (1-y_r) e^{-(1-y)/Ac}$$

Achtung, dies macht kein  $u=+1$ , sondern erstellt nur boundary layer bei  $y=0$  und  $1$  mit side  $Ac$ , in dem Rückströmung möglich.

Vorfaktoren  $y_r$  und  $1-y_r$  regulieren den Massenfluss proportional zur Breite der Steife, eben  $y_r$  und  $1-y_r$ .



Wind-driven flows

ejill S. 317 ff

29./30.03.2022

Annahmen: 1) uniform rotation of stratified fluid about a vertical axis = f-plane  
2) horizontal pressure gradient = wind

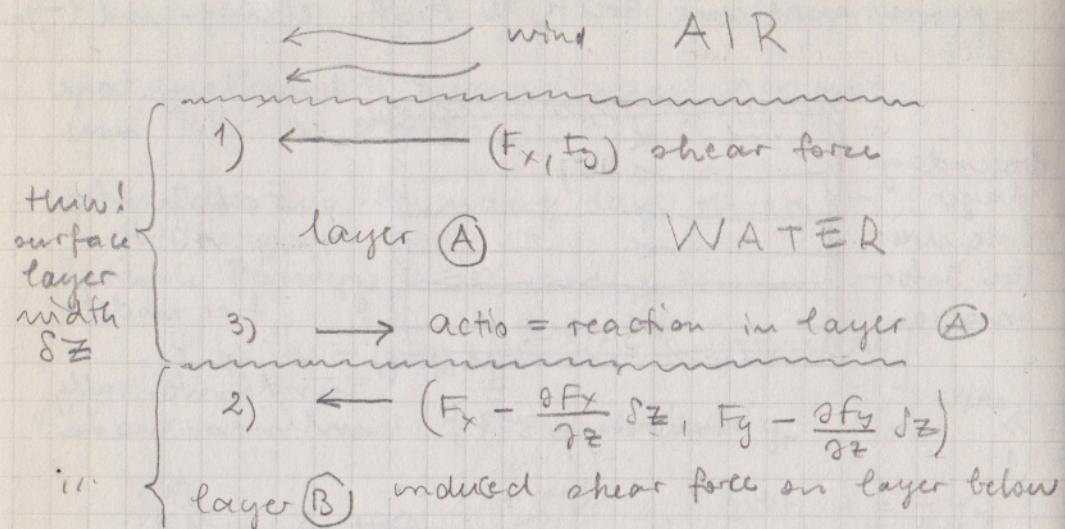
wind bewirkt direkten response in dünnen oberflächenschicht des Meeres = Ekman transport

Konkret: upper mixed layer, 10 - 100 m Tiefe

Zum Vergleich: Atmosphärische Bewegung fast nur durch Sonnenheizung: Auftriebskräfte

Fazit: main oceanic currents are wind driven

# Mathematik der Scherkräfte (Reibung, Wind) §11 S.320



total force on layer A:

$$\begin{pmatrix} F_x \\ F_y \end{pmatrix} + \begin{pmatrix} -F_x + \frac{\partial F_x}{\partial z} \delta z \\ -F_y + \frac{\partial F_y}{\partial z} \delta z \end{pmatrix} = \begin{pmatrix} \frac{\partial F_x}{\partial z} \\ \frac{\partial F_y}{\partial z} \end{pmatrix} \delta z$$

Diese Kraft wird sich offenbar linear mit der Oberfläche verändern, auf der sie wirkt. Schreibe also

$$\begin{aligned} F_x &= X \frac{\partial x}{\partial z} \delta z && \text{mit "Scherdruck"} \\ F_y &= Y \frac{\partial y}{\partial z} \delta z && "(X, Y)" \end{aligned}$$

$$\begin{aligned} \text{Also } \delta m \cdot du/dt &= \frac{\partial X}{\partial z} \delta x \delta y \delta z \\ \delta m \cdot dv/dt &= \frac{\partial Y}{\partial z} \delta x \delta y \delta z \end{aligned}$$

$$\begin{aligned} \text{Also } -fv \frac{du}{dt} &= g^{-1} \frac{\partial X}{\partial z} - g^{-1} \frac{\partial p}{\partial x} \\ + fu \frac{dv}{dt} &= g^{-1} \frac{\partial Y}{\partial z} - g^{-1} \frac{\partial p}{\partial y} \end{aligned}$$

Now for X and Y!

not

Der vertikale Bereich mit signifikantem  $\frac{\partial X}{\partial z}, \frac{\partial Y}{\partial z}$  heißt EKMAN-layer (1905)

Beachte nur den geschwindigkeitsbeitrag im layer:

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} u_0 \\ v_0 \end{pmatrix} + \begin{pmatrix} u_e \\ v_e \end{pmatrix}$$

Natürlich ist  $(u_0, v_0)$  mode unabhängig von z, also konstant im layer. Linearisiert:

$$\frac{\partial u_e}{\partial t} - fv_e = \frac{1}{g} \frac{\partial X}{\partial z} \quad \text{EKMAN}$$

$$\frac{\partial v_e}{\partial t} + fu_e = \frac{1}{g} \frac{\partial Y}{\partial z} \quad \text{LAYER}$$

Integriere dies  $\int dz$  über den gesamten Ekman layer! an den Boden  $(X, Y) = (0, 0)$  und an Oberfläche  $(X, Y) = (X_s, Y_s)$

$$\text{sei } U_e = \int u_e dz, V_e = \int v_e dz$$

Also

$$\frac{\partial U_e}{\partial t} - fv_e = \frac{1}{g} X_s \quad (1)$$

$$\frac{\partial V_e}{\partial t} + fu_e = \frac{1}{g} Y_s \quad (2)$$

$$(1) + i(2): \underbrace{\frac{\partial}{\partial t} (U_e + iV_e)}_{\text{dieselbe Zahl } \in \mathbb{C}} + i f \underbrace{(U_e + iV_e)}_{\text{dieselbe Zahl } \in \mathbb{C}} = \frac{X_s}{g}$$

für  $Y_s = 0$  →

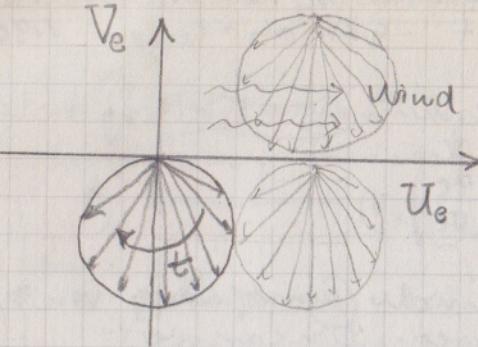
$$\text{gold (1908)} \quad U_e + iV_e = \frac{X_s}{isf} (1 - e^{-ift})$$

Davon Real- und Imaginärteil nehmen gibt

$$\frac{df}{X_s} \cdot U_e = \sin ft$$

$$\frac{df}{X_s} \cdot V_e = \cos ft - 1$$

[everywhere in xy-plane]



At first, ocean starts to flow with wind in x-direction, then flows to the right (north hemis.) due to Coriolis force

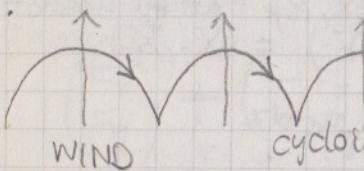
Anticyclonic rotation

$$\begin{pmatrix} U_e \\ V_e \end{pmatrix} = \begin{pmatrix} 0 \\ -1 \end{pmatrix} + \begin{pmatrix} \sin \text{ft} \\ \cos \text{ft} \end{pmatrix}$$

constant transport normal to the wind  
anticyclonic rotation (around inertial circles)

$\oplus$ : linear + circular  
= cycloidal

(c.f. bicycle pedal)



$\oplus$  is the definition of this cycloid

Dazu (natürlich) viele Beobachtungsdaten

Innen, vertikale Aufbau

des Ekman layer

Pedlosky p. 186

Ekman layer is about FRICTION; SHEAR at surface

Ekman layer = friction layer (p. 185)

Das folgendes nur bzgl.  $\mathbb{Z}$ , keine Zeit  $\mathbb{T}$

vertikale Variation im Ekman layer

$$\left. \begin{aligned} -fv &= -\frac{1}{\rho} \frac{\partial p}{\partial x} + v \frac{\partial^2 u}{\partial z^2} \\ \otimes \quad fu &= -\frac{1}{\rho} \frac{\partial p}{\partial y} + v \frac{\partial^2 u}{\partial z^2} \end{aligned} \right\} \begin{array}{l} \text{geostrophic} \\ \text{+ friction} \end{array}$$

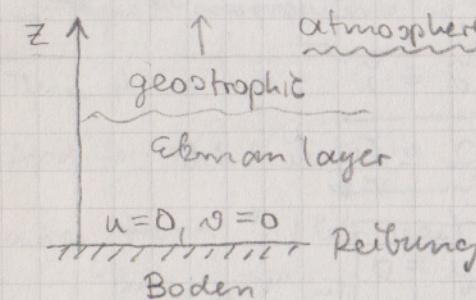
$$g = -\frac{1}{\rho} \frac{\partial p}{\partial z} \quad \left. \right\} \text{hydrostatic}$$

bedeute mal wieder shallow water:

$$\frac{\partial}{\partial z} \frac{\partial p}{\partial x} = \frac{\partial}{\partial x} \frac{\partial p}{\partial z} = -g \frac{\partial g}{\partial x} = 0$$

$$\frac{\partial}{\partial z} \frac{\partial p}{\partial y} = \frac{\partial}{\partial y} \frac{\partial p}{\partial z} = -g \frac{\partial g}{\partial y} = 0$$

Der geostrophische Term  $\frac{\partial p}{\partial x}, \frac{\partial p}{\partial y}$  ist höhenunabhängig,  
und es also das von ihm verursachte  $(u, v) = (u, v)(x, y)$



① Für  $z \rightarrow +\infty$

$$u = U$$

$$v = 0$$

$$w = 0$$

by choice of coordinate system  $\hat{x}, \hat{y}$ ,  
where  $U$  is <sup>LOCAL!</sup> geostrophic velocity

For  $z \rightarrow +\infty$ ,  $\oplus$  becomes

$$0 = -\frac{1}{\rho} \frac{\partial p}{\partial x}$$

$$fu = -\frac{1}{\rho} \frac{\partial p}{\partial y}$$

But  $\frac{\partial p}{\partial x}, \frac{\partial p}{\partial y}$  are independent  
of  $z$ , thus these eqs. hold  
for all  $z$ !

writing thus  $u = u + \tilde{u}$   $\otimes$  becomes  
 $v = \tilde{v}$

$$-\frac{f}{\nu} \tilde{v} + \boxed{0 = -\frac{1}{\delta} \frac{\partial p}{\partial x}} + v \frac{\partial^2 u}{\partial z^2} + v \frac{\partial^2 \tilde{u}}{\partial z^2}$$

$$f \tilde{u} + \boxed{f u = -\frac{1}{\delta} \frac{\partial p}{\partial y}} + v \frac{\partial^2 \tilde{v}}{\partial z^2}$$

cancels out  
at all  $z$

thus

$$\begin{aligned} f \tilde{u} &= v \frac{d^2 \tilde{v}}{dz^2} \\ \text{q} \quad -f \tilde{v} &= v \frac{d^2 \tilde{u}}{dz^2} \end{aligned}$$

where  $\tilde{u}, \tilde{v}$  are functions  
now of  $z$  only, since  
this is the only  
differential appearing

$\tilde{u}, \tilde{v}$  are deviations from geostrophic flow in  
Ekman layer.

Eliminate  $\tilde{v}$ :

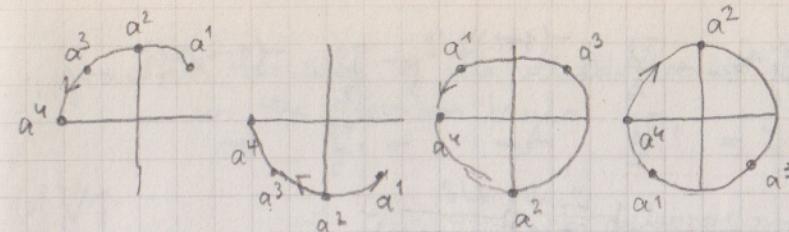
$$\frac{d^4 \tilde{u}}{dz^4} = -\frac{f}{v} \frac{d^2 \tilde{v}}{dz^2} = -\frac{f}{v} \frac{f}{v} \tilde{u} \quad \text{d.h.}$$

$$\boxed{\tilde{u}'''' + \frac{f^2}{v^2} \tilde{u} = 0}$$

try  $\tilde{u} = \exp\left(a \frac{z}{\sqrt{v/f}}\right)$  mit  $a \in \mathbb{C}$  und  $\sqrt{\frac{v}{f}} = \delta$

$$\tilde{u}''' + \frac{f^2}{v^2} \tilde{u} = \left(a^4 \frac{f^2}{v^2} + \frac{f^2}{v^2}\right) \tilde{u} = 0$$

d.h.  $-a^4 = -1$  hat 4 komplexe Lösungen



$$a = \frac{1+i}{\sqrt{2}} \quad a = \frac{1-i}{\sqrt{2}} \quad a = \frac{-1+i}{\sqrt{2}} \quad a = \frac{-1-i}{\sqrt{2}}$$

$$45^\circ \cdot 4 = 180^\circ \quad -45^\circ \cdot 4 = -180^\circ \quad 135^\circ \cdot 4 = 540^\circ = 360 + 180$$

Ziehe  $\sqrt{2}$  in  $\delta$ :

$$\delta = \sqrt{\frac{2\nu}{f}} = \sqrt{\frac{\nu}{f/2}} \quad \text{cancels factor } 2 \text{ in !}$$

$$\tilde{u} = c_1 e^{(1+i)z/\delta} + c_2 e^{(1-i)z/\delta} + c_3 e^{-(1+i)z/\delta} + c_4 e^{-(1-i)z/\delta}$$

renamed, compared to above

$c_1 = c_2 = 0$  since also  $\tilde{u} \rightarrow \infty$  for  $z \rightarrow \infty$ .

Then for  $z \rightarrow \infty$ :  $\tilde{u} = \tilde{v} = 0$  automatically

$$\text{And for } z \rightarrow 0: \boxed{\tilde{u} = c_3 + c_4, \quad \tilde{v} = -ic_3 + ic_4}$$

since from q (left page):

$$\tilde{v} = -\frac{v}{f} \tilde{u}'' = -\frac{v}{f} (1+i)^2 \frac{f}{2\nu} - \frac{v}{f} (1-i)^2 \frac{f}{2\nu}$$

$$\cdot c_3 e^{-(1+i)z/\delta} \cdot c_4 e^{-(1-i)z/\delta}$$

$$\text{mit } \begin{cases} (1+i)^2 = 2i \\ (1-i)^2 = -2i \end{cases} \quad \tilde{v} = -ic_3 e^{-(1+i)z/\delta} + ic_4 e^{-(1-i)z/\delta}$$

Thus, since  $0 = u(0) = u + \tilde{u} \rightarrow \tilde{u} = -u$

$$\begin{aligned} 0 &= u(0) = \tilde{v} \quad \rightarrow \quad \tilde{v} = 0 \\ \rightarrow c_3 &= c_4 = -U/2 \end{aligned}$$

$$\text{Thus } \tilde{u} = -\frac{U}{2} e^{-(1+i)z/\delta} - \frac{U}{2} e^{-(1-i)z/\delta}$$

$$\tilde{v} = i \frac{U}{2} \quad \text{---} \quad -i \frac{U}{2} \quad \text{---}$$

$$\tilde{u} = -\frac{U}{2} e^{-z/\delta} \underbrace{\left( e^{-iz/\delta} + e^{iz/\delta} \right)}_{2 \cos z/\delta}$$

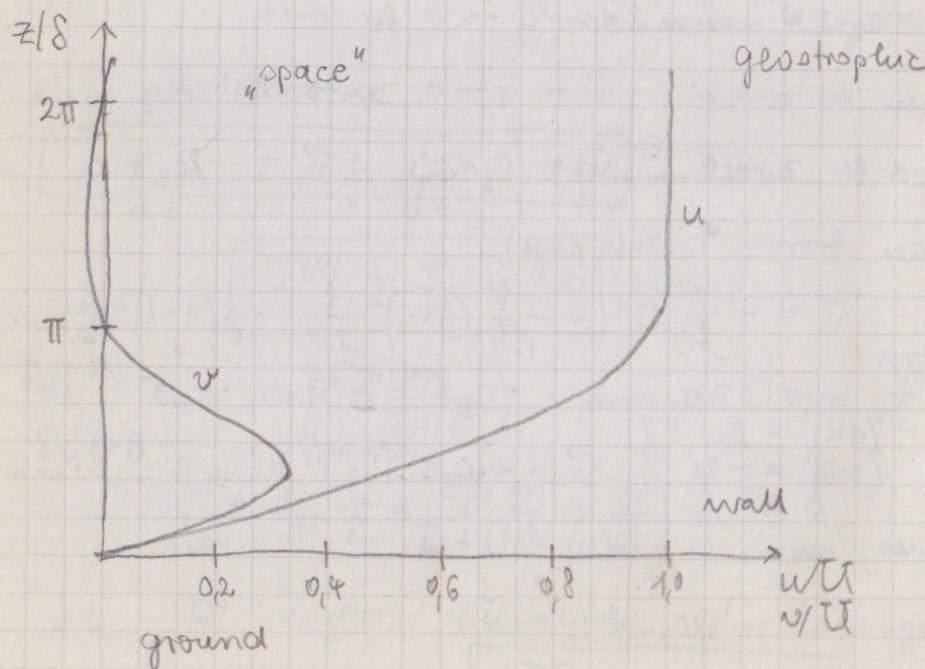
$$\tilde{v} = i \frac{U}{2} e^{-z/\delta} \underbrace{\left( e^{-iz/\delta} - e^{iz/\delta} \right)}_{-2i \sin z/\delta}$$

$$\tilde{u} = -U e^{-z/\delta} \cos \frac{z}{\delta}$$

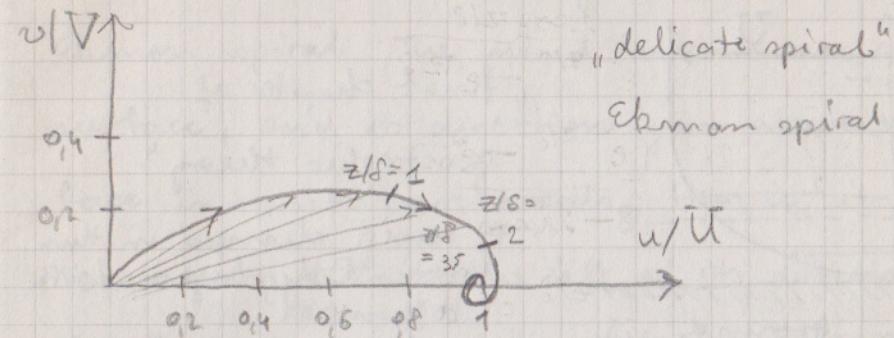
$$\tilde{v} = U e^{-z/\delta} \sin \frac{z}{\delta}$$

$$\boxed{u = U \left[ 1 - e^{-\frac{z}{\delta}} \cos \frac{z}{\delta} \right]}$$

$$v = U e^{-\frac{z}{\delta}} \sin \frac{z}{\delta}$$

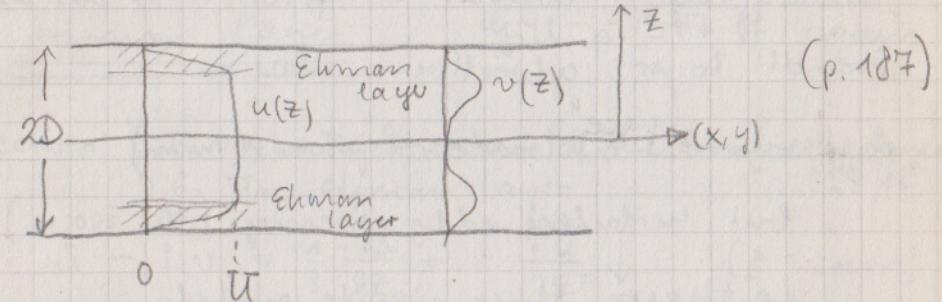


"graceful turning of the velocity vector" (Pedlosky p181)  
in the Ekman layer



Ekman layer is important for atmosphere and ocean for dissipation of kinetic energy.

Pedlosky distinct mode (p 185 ff) geostrophic flow with wall friction at walls  $z = \pm 1$ ; top & bottom



abs Lösung von

$$\boxed{E^2 v''' + 4v = 0}$$

$$v = v(z), R_B$$

$$v(-1) = v(1) = 0$$

nämlich  $v = A \sinh(kz) \sinh(kx) + B \cosh(kx) \cosh(kz)$

and Ekman number

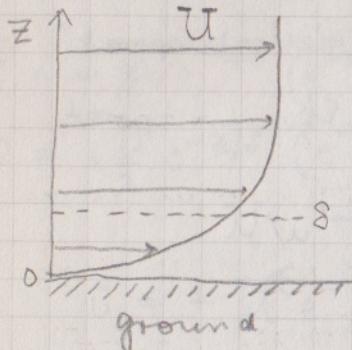
$$\boxed{E = \frac{2v}{f D^2}} \ll 1$$

$$\text{and } k = \frac{D}{\delta} = \frac{1}{\sqrt{E}}$$

## Ekman layer

Vallis p. 201-211

E.l. is a boundary layer:



"final chapter of  
geostrophic theory"

→ rapid changes in thin  
boundary layers with  
differentiated

$$v \Delta v$$

small large!

small scale  $\leftrightarrow$  highest  
differential  
order

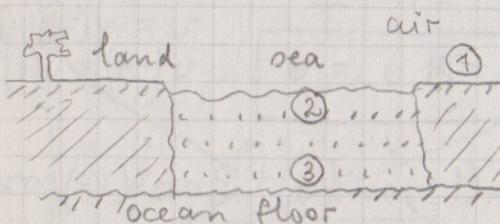
Ekman layer: Coriolis vs. friction  $\rightarrow$  Ekman

Prandtl layer: advective vs. friction

Achtung: not molecular friction (mm)

but turbulent eddy viscosity (10-300 m)

no theory, thus simple models



three Ekman  
layers

assumption: friction is  $v \frac{\partial u}{\partial z}$

"Assume that the geostrophic current is eastwards, then the solution is the now-famous Ekman spiral. The wind falls to zero at the surface, and its direction just above the surface is northeastwards; that is, it is rotated by  $45^\circ$  to the left of its direction in the free atmosphere." (Vallis, p. 206)

This Ekman spiral is observed in the ocean since the 1980 (difficult to measure  $(u, v)$ )

## Ekman layer

Gill p. 317 ff again

Section 9.5 Velocity structure of the boundary layer  
9.6. The Ekman layer

p. 328 ff

$$(1) \dot{u} - f v = \frac{1}{\beta} \frac{\partial X}{\partial z} = v \frac{\partial^2 u}{\partial z^2} \quad (\beta = \text{const})$$

$$(2) \dot{v} + f u = \frac{1}{\beta} \frac{\partial Y}{\partial z} = v \frac{\partial^2 v}{\partial z^2}$$

in Ekman (1905) layer. (1) + i(2):

$$\left( \frac{\partial}{\partial t} + if \right) (u + iv) = v \frac{\partial^2}{\partial z^2} (u + iv)$$

mit Lösung  
stationär

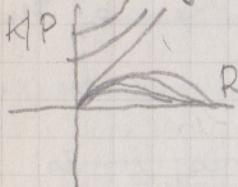
$$u + iv = - \frac{(u_0 e^{-(1+i)z/\delta} + v_0)}{\frac{\partial^2}{\partial z^2}} e^{-\frac{(1+i)z}{\delta}}$$

$$\delta = \sqrt{\frac{2v}{f}}$$

# COMBINED ROSSBY - GRAVITY WAVES

1.4.22

we had, many pages back, combined Rossby + Kelvin/Poincaré waves



Now more directly:

Rossby + (internal) gravity waves

① Vallis S. 298 ff : Rossby + shallow water waves

Rotating shallow-water equations

$$\frac{\partial u}{\partial t} - f v = - g' \frac{\partial \eta}{\partial x} \quad (1)$$

$$\frac{\partial v}{\partial t} + fu = -g' \frac{\partial \eta}{\partial y} \quad (2)$$

$$\frac{\partial \eta}{\partial t} + H \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0 \quad (3)$$

$\eta$  = free surface height

$H$  = reference depth of fluid

$g'$  = reduced gravity

z-Vorticity  $J = u_x - u_y$  ( $= \Delta \psi$ )

Assume

$$f = \beta y$$

$\beta$ -plane approximation

$$(2)_x - (1)_y : + \beta v$$

$$\frac{\partial^2 v}{\partial t \partial x} - \frac{\partial^2 u}{\partial t \partial y} + f \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = 0$$

using formula for  $J$  and (3):

$$\frac{\partial J}{\partial t} - \frac{f}{H} \frac{\partial \eta}{\partial t} + \beta v = 0 \quad \text{linearized}$$

$$(4) \boxed{\frac{\partial}{\partial t} \left( J - \frac{f}{H} \eta \right) + \beta v = 0}$$

P. V. conservation  
Vallis p. 304

New the 1-equation trick:  $(\ddot{u}) = \text{überlebt}$

$$(I) \frac{f}{g'H} \partial_t (1) : \frac{f}{g'H} u_{ttt} - \frac{f^2}{g'H} v_t = - \frac{f}{H} \eta_{xt}$$

$$(II) \frac{1}{g'H} \partial_{tt} (2) : \frac{1}{g'H} v_{ttt} + \frac{f}{g'H} u_{ttt} = - \frac{1}{H} \eta_{yt}$$

$$(III) \frac{1}{H} \partial_{ty} (3) : \frac{1}{H} \eta_{ttx} + u_{xyt} + v_{yyt} = 0$$

$$(IV) \partial_x (4) : v_{xxt} - u_{xyt} - \frac{f}{H} \eta_{xt} + \beta u_x = 0$$

Thus (I) - (II) - (III) - (IV) gives

$$0 = \frac{1}{g'H} \frac{\partial^3 v}{\partial t^3} + \frac{f^2}{g'H} \frac{\partial v}{\partial t} - \frac{\partial}{\partial t} \left( \frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 v}{\partial y^2} \right) - \beta \frac{\partial v}{\partial x}$$

$g'H = c^2$  as usual.

Vallis (8.2) p. 298

Note that  $f = \beta y$  is not constant!

Strange but common assumption:

assume  $f = \text{const}$  affo differentiation of  $f = \beta y$

Then the DGL has constant coefficient, & is linear

Solution thus  $e^{i(\vec{k} \cdot \vec{x} - \omega t)}$

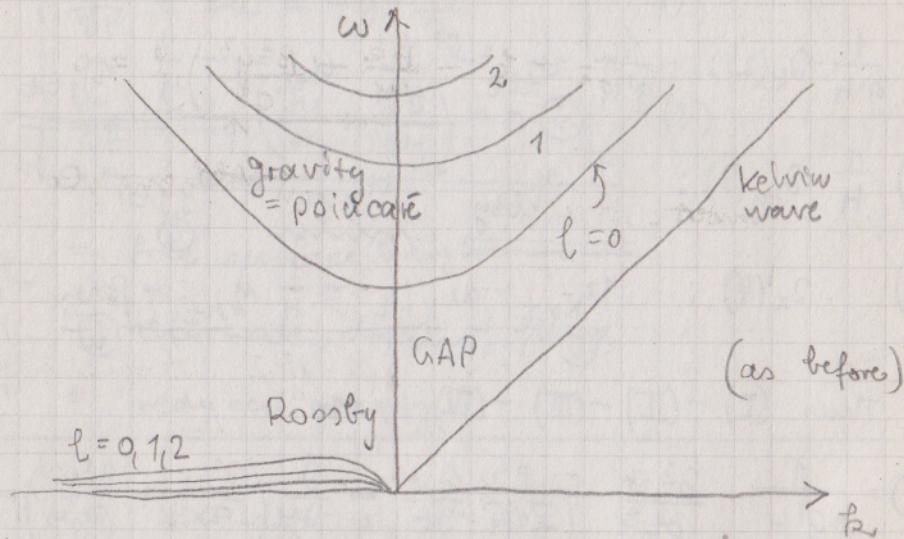
$$\boxed{\omega \cdot \left( \frac{\omega^2 - f^2}{c^2} - k^2 - l^2 \right) - \beta k = 0} \quad \text{abrie!}$$

oder, in dem man  $f=1=c$  normiert,

$$\boxed{\omega^3 - \omega(1+k^2+l^2) - \beta k = 0} \quad \otimes$$

mit einem Parameter  $\beta = 0.01 - 0.1$   
ocean-atmosph.

( $c = 200 \frac{m}{s}$ ,  $L = 1000 \text{ km}$ )



Trick: consider  $\otimes$  as quadratic eq in  $k$ ,

$$k_x = -\frac{\beta}{2\omega} \pm \sqrt{\frac{\beta^2}{4\omega^2} + \omega^2 - \ell^2 - 1}^{1/2}$$

Note that for  $\omega \ll 1 = f$  we have Rossby waves,

$$\omega = -\frac{\beta k}{k^2 + \ell^2 + 1} = \frac{\beta^2}{k^2 + \ell^2} \quad \underline{\text{Rossby}}$$

whereas for  $\omega \gg 1$ , we have Poincaré waves,  
and  $\beta = 0$

$$\omega^2 = 1 + k^2 + \ell^2 = f^2 + c^2(k^2 + \ell^2) \quad \underline{\text{Poincaré}}$$

Nun schreibe Approx  $f = \text{const}$

Koeffizient  $\beta y$  in der DGL  
diese müssen noch linear bzgl.  $x$ , also

$$v(x, y, t) = f(y) e^{i(fy - \omega t)}$$

In DGL auf vorletzte Seite einsetzen gibt

function  
 $f$ , not  
constant  
aceed.

$$\boxed{f'' + \left( \frac{\omega^2}{c^2} - k^2 - \frac{\beta k}{\omega} - \frac{\beta^2 y^2}{c^2} \right) f = 0}$$

unnormiert; ACHTUNG, mit  $\beta = 1$   
y-Abhängigkeit

$$\boxed{f'' + \left( \omega^2 - k^2 - \frac{k}{\omega} - y^2 \right) f = 0}$$

Trick: setze  $f(y) = g(y) \exp(-y^2/2)$ . Damit wird

$$\boxed{g'' - 2yg' + \lambda g = 0} \quad \begin{array}{l} \text{HERMITE} \\ \text{diff. eq.} \end{array}$$

$$\text{mit } \lambda = \omega^2 - k^2 - \frac{k}{\omega} - 1$$

Hermite glg hat Lösung nur für  $\lambda = 0, 2, 4, 6, \dots$   
 $= \frac{2m}{\omega}$

Lösungen sind die Hermite-Polynome

$$\begin{aligned} H_0 &= 1 \\ H_1 &= 2y \\ H_2 &= 4y^2 - 2 \\ H_3 &= 8y^3 - 12y \\ H_4 &= 16y^4 - 48y^2 + 12 \quad \text{usw.} \end{aligned}$$

$m \curvearrowleft H_m = 16y^4 - 48y^2 + 12$

also  $v_m(x, y, t) = H_m(y) e^{-y^2/2} e^{i(kx - \omega t)}$

Dispersionsrelation aus

$$\lambda = \omega^2 - k^2 - \frac{k}{\omega} - 1 = 2m$$

d.h.  $\omega^2 - k^2 - \frac{k}{\omega} = 2m+1$

vollständig

$$\boxed{\omega^2 - c^2 k^2 - \beta c^2 \frac{k}{\omega} = (2m+1) \beta c}$$

ist media kubische Gleichung.

Direkt vergleich mit Rechnung für konstantes  $f$ :

$$f = \text{const.} : \boxed{\omega^3 - \omega(1 + \beta^2 + \ell^2) - \beta k = 0}$$

$$f = \beta y : \boxed{\omega^3 - \omega(1 + \beta^2 + (2m+1)\beta) - \beta k = 0}$$

$\ell \in \mathbb{R}$  beliebig, aber  $\ell^2 \geq 0$  ist w

$(2m+1)\beta$  für  $w=0$ :  $\beta > 0$  jetzt

$$w = -1$$

Kelvin wave

$$w = 0$$

mixed Rossby-gravity

$$w = 1, 2, 3, \dots$$

separated Rossby/gravity waves

gravity waves ( $w \rightarrow \infty$ )

$$\omega_g^2 = k^2 + 2m+1$$

Rossby waves ( $w \rightarrow 0$ )

$$\omega_R = -\frac{k}{k^2 + 2m+1}$$

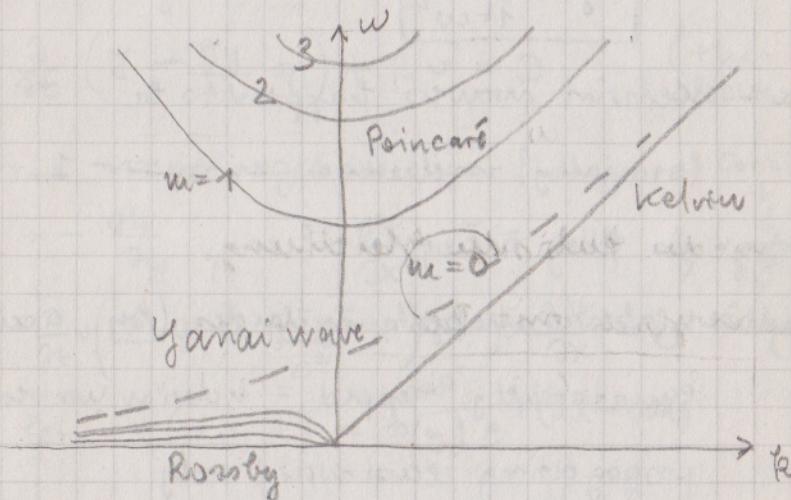
gap (again):  $\min(\omega_g) = \sqrt{2m+1}$

$$\max(\omega_R) = -\frac{1}{2\sqrt{2m+1}}$$

d.h.

$$\boxed{\frac{\min \omega_g}{\max \omega_R} = 2(2m+1)}$$

$$m = 0, 1, 2, \dots$$



Put  $w=0$ : Yanai wave = Rossby-gravity wave (1966)

$$\omega^2 - k^2 - \frac{k}{\omega} - 1 = 0, \quad k^2 + \frac{1}{\omega} k + 1 - \omega^2 = 0$$

$$k = -\frac{1}{2\omega} \pm \sqrt{\frac{1}{4\omega^2} - (1 - \omega^2)} = -\frac{1}{2\omega} \pm \sqrt{\left(\omega - \frac{1}{2\omega}\right)^2}$$

d.h.

$$k_1 = -\omega$$

$$k_2 = \omega - \frac{1}{\omega}$$

$k_1$  is spurious,  $k_2$  is a quadratic equation,

$$\omega_2 = \frac{k_2}{2} \pm \sqrt{\frac{k_2^2}{4} + 1}$$

for  $k_2 \rightarrow -\infty$ ,  $\omega_2 \rightarrow 0$  Rossby! (westward)

$k_2 \rightarrow +\infty$ ,  $\omega_2 \rightarrow k_2$  Kelvin! (eastward)

group velocity of Yanai waves:

$$v_g = \frac{dw}{dk} = \left( \frac{dk}{dw} \right)^{-1} = \left[ \frac{d}{dw} \left( w - \frac{1}{w} \right) \right]^{-1} = \left[ 1 + \frac{1}{w^2} \right]^{-1}$$

i.e.  $v_g = \frac{w^2}{1+w^2}$  is always  $> 0$

Note: Kelvin waves happen to be formally included as  $m = -1$ .

Why do they occur here?

Vallis: analysis includes (by "accident") equatorially trapped = Kelvin waves propagating eastward only.

- Beautiful numerical confirmation of the

Yanai wave on a full sphere: Vallis p. 312

- Beautiful observations of Yanai wave &

the others: Vallis p. 718 for cloud brightness

### Heuristic derivation

Vallis p. 314 (Sonntag 3.4.22)

We had derived above, from linear combination of 4 equations for  $u, v, y, J$ , for  $\beta = c = 1$

$$\boxed{\dot{f}'' + \left( \omega^2 - k^2 - \frac{k}{\omega} - y^2 \right) f = 0} \quad f = f(y) \quad (*)$$

where  $v(x, y, t) = f(y) e^{i(kx - \omega t)}$ .

This is now derived - approximately - more directly

Start with vorticity equation, linearized

$$\frac{\partial}{\partial t} \left( J - \frac{\beta y}{H} \eta \right) + \beta \omega = 0 \quad (\text{this was above eq. 4})$$

Assume near-geostrophic flow, Coriolis, w/ f(t)

$$u = -\frac{\partial \Psi}{\partial y}, \quad v = \frac{\partial \Psi}{\partial x}, \quad J = \Delta \Psi, \quad \eta = \frac{f}{g} \Psi = \frac{\beta}{g} \Psi \quad \downarrow$$

$$g \omega t \frac{\partial}{\partial t} \left( \Delta \Psi - \frac{\beta^2 y^2}{c^2} \Psi \right) + \beta \frac{\partial \Psi}{\partial x} = 0 \quad (c^2 = gH)$$

$$\text{let } \Psi(x, y, t) = \phi(y) e^{i(kx - \omega t)}$$

then

$$-i\omega \left[ \phi'' - k^2 \phi - \frac{\beta^2 y^2}{c^2} \phi \right] + i k \beta \phi = 0$$

defn.

$$\boxed{\phi'' - \left[ k^2 + \frac{\beta k}{\omega} + \frac{\beta^2 y^2}{c^2} \right] \phi = 0}$$

which is almost  $\oplus$ : 1)  $\omega^2$  is missing since we are in the low-frequency limit. 2) And  $f \propto v = \Psi_x \propto \phi$  thus  $f$  and  $\phi$  obey the same equation.

The same after Pedlosky: p. 586!

Equatorial waves = Poincaré, Kelvin, Rossby

↑  
This was missing so far, but then we assumed

$$f = \beta y \quad \text{without } f_0$$

Since  $f \rightarrow 0$  at equator, no geostrophic balance

→ Huge chapter on equatorial dynamics

There only equatorially trapped (kelvin) waves

$$\text{Poincaré: } w_p^2 = f^2 + gH \quad (\text{const})$$

$$\text{Rossby: } w_R = -\frac{\beta k}{k^2 + l^2 + f^2/gH}$$

$$\min(w_p) = f, \text{ and } w_R \rightarrow 0$$

Thus for  $f \rightarrow 0$ , Poincaré & Rossby have no gap

and may develop common mode = Janai wave

$$w_p = f^2 + gH \quad (\text{const})$$

for fixed  $w_p$  and  $k$ ,  $l^2$  must become  $< 0$

with growing  $f^2$ : evanescent wave in  $y$ -direct.

→ Equatorial region acts as wave guide

In a band about the equator  $f = \beta y$

$$\text{with } \beta = 2\Omega/R_E$$

Radius of Earth

equations of motion, as before, normalized, with  $\beta \equiv 1$ ,

$$\frac{\partial u}{\partial t} - yv = \left(-\frac{\partial p}{\partial x}\right) = -\frac{\partial y}{\partial x} \quad (1)$$

$$\frac{\partial v}{\partial t} + yu = \left(-\frac{\partial p}{\partial y}\right) = -\frac{\partial x}{\partial y} \quad (2) \quad \text{3. gl. duangen}$$

$$m^2 \frac{\partial^2 y}{\partial t^2} + \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}\right) = 0 \quad (3) \quad \text{für } u, v, y$$

Nach Moore & Philander (1977) wurde

$$iy + H \nabla \cdot \vec{u} \text{ ersetzt durch } m^2 iy + \nabla \cdot \vec{u}$$

Für (1)(2)(3) siehe Pedlosky S. 530 (8.5.19a, b), (8.5.20)  
(mit  $P = \gamma$ )

Eliminiere  $y$  mit Hilfe von (3) in (1), (2):

$$\frac{\partial^2 u}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2 u}{\partial x^2} = y \frac{\partial v}{\partial t} + \frac{1}{m^2} \frac{\partial^2 v}{\partial x \partial y} \quad (1')$$

$$\frac{\partial^2 v}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2 v}{\partial y^2} = -y \frac{\partial u}{\partial t} + \frac{1}{m^2} \frac{\partial^2 u}{\partial x \partial y} \quad (2')$$

Eliminiere  $u$ . Wende  $\frac{\partial^2}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2}{\partial x^2}$  auf (2') an:

$$\left(\frac{\partial^2}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2}{\partial x^2}\right) \left(\frac{\partial^2}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2}{\partial y^2}\right) v = \left(\frac{\partial^2}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2}{\partial x^2}\right) \left(-y \frac{\partial}{\partial t} + \frac{1}{m^2} \frac{\partial^2}{\partial x \partial y}\right) v$$

$$= \left(-y \frac{\partial}{\partial t} + \frac{1}{m^2} \frac{\partial^2}{\partial x \partial y}\right) \left(\frac{\partial^2}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2}{\partial x^2}\right) v$$

$$\stackrel{(1')}{=} \left(-y \frac{\partial}{\partial t} + \frac{1}{m^2} \frac{\partial^2}{\partial x \partial y}\right) \underbrace{\left(y \frac{\partial}{\partial t} + \frac{1}{m^2} \frac{\partial^2}{\partial x \partial y}\right)}_{\text{don't forget!}} v$$

$$\left(\frac{\partial^4}{\partial t^4} - \frac{1}{m^2} \frac{\partial^2}{\partial t^2} \left(\frac{\partial^2}{\partial x^2} + \frac{1}{m^2} \frac{\partial^2}{\partial y^2}\right)^2\right) v = \left(\frac{1}{m^4} \frac{\partial^2}{\partial x^2} \frac{\partial^2}{\partial y^2} - y^2 \frac{\partial^2}{\partial t^2} + \frac{1}{m^2} \frac{\partial}{\partial x} \frac{\partial^2}{\partial y \partial t}\right) v$$

$$(m^2 \frac{\partial^4}{\partial t^4} - \frac{\partial^2}{\partial t^2} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right) + m^2 y^2 \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x \partial t}) v = 0$$

Ein  $\partial_t$  kann weg (konstant 0!)

$$\frac{\partial}{\partial t} \left[ \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v - m^2 v^2 w - m^2 \frac{\partial^2 v}{\partial t^2} \right] + \frac{\partial v}{\partial x} = 0$$

also deutlich überbestimmt als vorherige Ableitung in Vallis mit Lini. Komb von 4 Gleichungen (potential vorticity war überflüssige Glg.):

$$-\frac{\partial}{\partial t} \left[ \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) v + \frac{f^2}{c^2} v + \frac{1}{c^2} \frac{\partial^2 v}{\partial t^2} \right] - \beta \frac{\partial v}{\partial x} = 0$$

in Vallis. Beide Überbestimmung da ergeben für  $\beta = 1$  und  $f = \beta y$  und  $m^2 = 1/c^2$

Obige Gleichung in Kosten; Pedlosky S.590 (8.5.23)

Nebenbedingung: es kann u-Wellen mit  $v=0$  geben

$$\frac{\partial^2 u}{\partial t^2} - \frac{1}{m^2} \frac{\partial^2 u}{\partial x^2} = 0 \quad (1)$$

$$\frac{\partial^2 u}{\partial x \partial y} - m^2 y \frac{\partial u}{\partial x} = 0 \quad (2)$$

aus (1):  $u = U_{\pm} (x \pm \frac{t}{m}, y)$ .

in (2):  $\partial_y \partial_x U_{\pm} + my \partial_x U_{\pm} = 0$

d.h.  $U_{\pm} = h_{\pm} (x \pm \frac{t}{m}) e^{\pm \frac{1}{2} my^2}$

entfernen wir den Faktor  $e^{-my^2/2}$ ! wähle  $m > 0$

$$U = h (x \pm \frac{t}{m}) e^{-\frac{1}{2} my^2} \quad (8.5.28)$$

nach Osten laufende Kelvinwelle am Äquator (equatorially trapped wave)

← Nun zurück zu tun. Ansatz:

$$v(x, y, t) = \Psi(y) e^{i(kx - \omega t)}$$

gibt  $\frac{d^2 \Psi}{dy^2} + (m^2 (\omega^2 + k^2) - \frac{k}{\omega} - \frac{\omega^2}{m^2}) \Psi = 0 \quad (*)$

wie zuvor (für f)

Lösungen sind wieder

$$-\frac{1}{2} \tilde{y}^2$$

$$\Psi_j(y) = \text{const. } e^{\pm \sqrt{\omega^2 + k^2} \tilde{y}} H_j(\tilde{y}) \text{ mit } \tilde{y} = y \sqrt{m}$$

mit Hermite-Polynom definiert durch

$$H_j(y) = (-1)^j e^{y^2} \frac{d^j}{dy^j} e^{-y^2}$$

Setzt man dies in die DGL oben ein, so erhält man

ü  $m^2 \omega^2 - \frac{k}{\omega} - k^2 = (2j+1)m \quad (j=0, 1, 2, \dots)$

nämlich die  $\Psi_j$  erfüllen die DGL

$$\frac{d^2 \Psi_j}{d\tilde{y}^2} + ((2j+1) - \tilde{y}^2) \Psi_j = 0$$

also in y:

$$\frac{1}{m} \frac{d^2 \Psi_j}{dy^2} + ((2j+1) - my^2) \Psi_j = 0$$

oder  $\frac{d^2 \Psi_j}{dy^2} + ((2j+1)m - my^2) \Psi_j = 0$

was direkt mit (\*) verglichen werden kann

$$\text{Setze } j=0 : m^2 \omega^2 - \frac{k}{\omega} - k^2 = m$$

hat Lösungen  $k = -m\omega$ ;  $m^2\omega^2 + mw - m^2\omega^2 = mw$

und  $\boxed{k = -\frac{1}{\omega} + m\omega}$ :  $m^2\omega^2 + \frac{1}{\omega^2} - \frac{m}{\omega} - \frac{1}{\omega^2} - m^2\omega^2 + 2mw =$

Hie:  $\frac{\omega}{k} = -\frac{1}{m}$  westwärts laufende Kelvinwelle

und  $k = -\frac{1}{\omega} + m\omega$  ist Yanai-welle;

für  $\left\{ \begin{array}{l} \omega \rightarrow \infty : \frac{\omega}{k} = +\frac{1}{m} \\ \text{ostwärts laufende Kelvinwelle} \end{array} \right.$

$\left\{ \begin{array}{l} \omega \rightarrow 0 : \frac{\omega}{k} = -\frac{1}{k^2} \\ \text{westwärts laufende Rossby} \end{array} \right.$

Also sind

